

Item 11: To take note of the Corporate Governance Report and Investor Complaints for the quarter ended March 31, 2023:

The Board is requested to take note of the Corporate Governance Report and Investor Grievance for the quarter ended March 31, 2023 filed with the Stock Exchange i.e., BSE Limited, which was circulated along with the acknowledgements.

Item 11A: Compliances during the quarter ended March 31, 2023:

The Board is requested to take note of the below listed compliances filed during the quarter ended March 31, 2023, under various Regulations of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015:

Sl No	Intimations	Regulation	Date of intimation
1.	Certificate for payment of interest/ principal due for the quarter ended March 2023	57(5)*	5 th April 2023
	Confirmation that share transfer facility are maintained by the Company's RTA	7(3)	6 th April 2023
2.	Statement of Investor Complaints for the quarter ended March 2023	13(3)*	4 th April 2023
3.	Statement of material deviations in utilization of issue proceeds	52(7)*	5 th April 2023
4.	Half yearly statement of debt securities for the half year ended September 30, 2022	SEBI circular no. SEBI/HO/DDHS/P/CIR/2021/613 dated 10 th August 2021	5 th April 2023
5.	Corporate Governance Report for the quarter ended March 31,2023	27(2)*	12 th April 2023
6.	Prior intimation of Board Meeting dated 29 th April 2023	50(1)*	21 st April 2023
7.	Outcome of Board Meeting dated 29 th April 2023	51, 52 and Part B of Schedule III	29 th April 2023
	Related Party Transaction disclosure for the half year ended 31 st March 2023	23(9)	29 th April 2023
8.	Certificate from Company Secretary for maintenance of Structural Digital Database ("SDD")	3(5) & 3(6)#	12 th April 2023
9.	Prior intimation to the quarter ended March 31, 2023	57(4)*	21 st March 2023

*SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

#SEBI (Prohibition of Insider Trading) Regulations, 2015

BSE LTD
ACKNOWLEDGEMENT

Acknowledgement No	: 1204202304585627	Date & Time	: 12/04/2023 04:58:56 PM
Scrip Code	: 951593		
Entity Name	: JANA SMALL FINANCE BANK LIMITED		
Compliance Type	: Regulation 27(2)- Corporate Governance		
Quarter / Period	: 31/03/2023		
Mode	: XBRL E-Filing		

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General information about company

Scrip code	951593
NSE Symbol	NA
MSEI Symbol	NA
ISIN	INE953L08030
Name of the entity	JANA SMALL FINANCE BANK LIMITED
Date of start of financial year	01-04-2022
Date of end of financial year	31-03-2023
Reporting Quarter	Yearly
Date of Report	31-03-2023
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Enter the quarter ended date only

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Disclosure of roles on composition of board of directors/regulatory											A&P Notes															
Whether the listed entity has a regular Chairperson											Yes															
Whether Chairperson is eligible as per SEBI											Yes		Classification of Director under section 164 of the Companies Act, 2013													
Sl. No.	Name of the Director	FOD	DOB	Category 1 of Director	Category 2 of Director	Category 3 of Director	Date of Birth	Whether the Director is disqualified?	Start Date of Disqualification	End Date of Disqualification	Death of Disqualification	Current Status	Whether special resolution passed (Under Reg. 165A of Listing Regulations)	Date of passing special resolution	Valid Date of appointment	Date of the appointment	Date of cessation	Tenure of Director (in months)	No. of Directorships held by Director in listed entity (Under Regulation 170A of Listing Regulations)	No. of Independent Directorships held by Director in listed entity (Under Regulation 170B of Listing Regulations)	Tenure of Independent Directorships held by Director in listed entity (Under Regulation 170B of Listing Regulations)	No. of Chairpersonships held by Director in listed entity (Under Regulation 170C of Listing Regulations)	No. of Chairpersonships held by Director in listed entity (Under Regulation 170C of Listing Regulations)	Notes for non-passing FOD	Notes for non-passing DIN	
																										Notes for non-passing FOD
1	Shri. R. Srinivasan	1958/03/01	1958/03/01	New Director - Independent Director	Chairperson		21.11.2017	No				Active	N/A													
2	Shri. K. Srinivasan	1958/03/01	1958/03/01	Existing Director	Not Applicable	201-160	18.08.2008	No				Active	N/A													
3	Shri. R. Srinivasan	1958/03/01	1958/03/01	New Director - Non Independent Director	Not Applicable		14.11.1987	No				Active	N/A													
4	Shri. R. Srinivasan	1958/03/01	1958/03/01	New Director - Independent Director	Not Applicable		28.12.2008	No				Active	N/A													
5	Shri. R. Srinivasan	1958/03/01	1958/03/01	New Director - Independent Director	Not Applicable		20.02.2014	No				Active	N/A													
6	Shri. R. Srinivasan	1958/03/01	1958/03/01	New Director - Non Independent Director	Not Applicable		20.02.2014	No				Active	N/A													
7	Shri. R. Srinivasan	1958/03/01	1958/03/01	New Director - Independent Director	Not Applicable		20.02.2014	No				Active	N/A													
8	Shri. R. Srinivasan	1958/03/01	1958/03/01	New Director - Independent Director	Not Applicable		20.02.2014	No				Active	N/A													
9	Shri. R. Srinivasan	1958/03/01	1958/03/01	New Director - Independent Director	Not Applicable		20.02.2014	No				Active	N/A													
10	Shri. R. Srinivasan	1958/03/01	1958/03/01	New Director - Independent Director	Not Applicable		20.02.2014	No				Active	N/A													

Annexure 1		For this quarter kindly note the following points: 1. Date of Appointment and Date of Cessation (if applicable) must be mandatorily filed for every Committee. 2. Date of Appointment can be any day after September 30, 2022 3. Date of Cessation must be for the current quarter only, i.e. July 1, 2022 December 31, 2019
II. Composition of Committees		
1. Composition of Committees		
2. Composition of non-executive/ independent Committees		

Note: Please refer-205 After entering DR, Name of Committee members and Category 1 of Director shall be populated automatically.

Audit Committee Details						
Whether the Audit Committee has a Regular Chairperson						
Sl. No.	DR Number	Name of Committee members	Category 1 of Director	Category 2 of Director	Date of Appointment	Date of Cessation
1	2020071	K. Vinayashankar	Non-Executive - Independent Director	Chairperson	01-01-2020	
2	2020072	K. Rajasekhar	Non-Executive - Independent Director	Member	08-01-2020	
3	2020073	Manoj Kumar Reddy	Non-Executive - Independent Director	Member	01-01-2020	
4	2020089	V. Suresh Babu	Non-Executive - Independent Director	Member	01-01-2020	01-01-2023
5	2020082	Chitra Srinivas	Non-Executive - Independent Director	Member	15-01-2020	
6	2020092	Rajesh Khanna	Non-Executive - Non Independent Director	Member	01-01-2020	
7						
8						
9						
10						

Note: Please refer-205 After entering DR, Name of Committee members and Category 1 of Director shall be populated automatically.

Nominations and Remuneration Committee						
Whether the Nominations and Remuneration Committee has a Regular Chairperson						
Sl. No.	DR Number	Name of Committee members	Category 1 of Director	Category 2 of Director	Date of Appointment	Date of Cessation
1	2020071	K. Rajasekhar	Non-Executive - Independent Director	Chairperson	08-01-2020	
2	2020073	Manoj Kumar Reddy	Non-Executive - Independent Director	Member	01-01-2020	
3	2020089	Chitra Srinivas	Non-Executive - Independent Director	Member	15-01-2020	
4	2020092	Rajesh Khanna	Non-Executive - Non Independent Director	Member	01-01-2020	
5	2020091	Rajesh Khanna	Non-Executive - Independent Director	Member	15-01-2020	
6						
7						
8						
9						
10						

Note: Please refer-205 After entering DR, Name of Committee members and Category 1 of Director shall be populated automatically.

Statutory Relationship Committee						
Whether the Statutory Relationship Committee has a Regular Chairperson						
Sl. No.	DR Number	Name of Committee members	Category 1 of Director	Category 2 of Director	Date of Appointment	Date of Cessation
1	2020072	Rajesh Khanna	Non-Executive - Independent Director	Chairperson	01-01-2020	
2	2020081	Rajesh Khanna	Non-Executive - Non Independent Director	Member	01-01-2020	
3	2020071	K. Rajasekhar	Non-Executive - Independent Director	Member	01-01-2020	
4						
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Risk Management Committee						
Whether the Risk Management Committee has a Regular Chairperson						
Sl. No.	DR Number	Name of Committee members	Category 1 of Director	Category 2 of Director	Date of Appointment	Date of Cessation
1	2020089	V. Suresh Babu	Non-Executive - Independent Director	Chairperson	08-01-2020	
2	2020091	Rajesh Khanna	Non-Executive - Non Independent Director	Member	01-01-2020	
3	2020071	K. Rajasekhar	Non-Executive - Independent Director	Member	01-01-2020	
4	2020072	K. Vinayashankar	Non-Executive - Independent Director	Member	01-01-2020	
5	2020092	Rajesh Khanna	Non-Executive - Non Independent Director	Member	01-01-2020	
6	2020073	Manoj Kumar Reddy	Non-Executive - Independent Director	Member	01-01-2020	
7						
8						
9						
10						

Note: Please refer-205 After entering DR, Name of Committee members and Category 1 of Director shall be populated automatically.

Corporate Social Responsibility Committee						
Whether the Corporate Social Responsibility Committee has a Regular Chairperson						
Sl. No.	DR Number	Name of Committee members	Category 1 of Director	Category 2 of Director	Date of Appointment	Date of Cessation
1	2020089	Chitra Srinivas	Non-Executive - Independent Director	Chairperson	01-01-2020	
2	2020091	Rajesh Khanna	Non-Executive - Non Independent Director	Member	01-01-2020	
3	2020072	K. Vinayashankar	Non-Executive - Independent Director	Member	01-01-2020	
4	2020073	Manoj Kumar Reddy	Non-Executive - Independent Director	Member	01-01-2020	
5						
6						
7						
8						
9						
10						

Other Committee					
Sl. No.	DR Number	Name of Committee members	Name of other committee	Category 1 of Director	Category 2 of Director
1					
2					
3					
4					
5					
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9					
10					

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Annexure 1

III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors explanatory

[Add Notes](#)

Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	10-11-2022			Yes	8	7	4
2	07-02-2023	88		Yes	8	5	2
3	24-02-2023	16		Yes	7	5	3
4	27-03-2023	30		Yes	8	8	5

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* to be filled in only for the current quarter meetings

Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory

Add Notes

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
	Add	Delete								
1	Audit Committee	10-11-2022				Yes	6	5	3	0
2	Audit Committee	06-02-2023	87			Yes	6	2	1	0
3	Audit Committee	07-02-2023	0			Yes	6	3	1	0
4	Nomination and remuneration committee	27-12-2022				Yes	5	3	3	0
5	Nomination and remuneration committee	06-02-2023	40			Yes	5	4	2	0
6	Risk Management Committee	09-11-2022				Yes	6	4	3	0
7	Risk Management Committee Corporate Social Responsibility	06-02-2023	88			Yes	6	3	2	0
8	Committee	24-02-2023	17			Yes	4	3	2	0
9	Stakeholders Relationship Committee	09-11-2022				Yes	3	3	2	0
10	Stakeholders Relationship Committee	06-02-2023	88			Yes	3	3	2	0

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* to be filled in only for the current quarter meetings

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V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	NA	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA	
Disclosure of notes on related party transactions			Add Notes
Disclosure of notes of material transaction with related party			Add Notes

Annexure II

Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations

Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
1	Details of business	Yes		https://www.janabank.com/about-us/who-we-are/overview/
2	Terms and conditions of appointment of independent directors	Yes		https://www.janabank.com/about-us/governance/terms-and-conditions-for-appointment-of-independent-directors/
3	Composition of various committees of board of directors	Yes		https://www.janabank.com/about-us/governance/committees-of-board/
4	Code of conduct of board of directors and senior management personnel	Yes		Code-of-Conduct-Policy-V1-2.pdf
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		whistleblower-policy.pdf
6	Criteria of making payments to non-executive directors	Yes		remuneration-and-remuneration-policy.pdf
7	Policy on dealing with related party transactions	Yes		RELATED-PARTY-TRANSACTION-POLICY.pdf
8	Policy for determining 'material' subsidiaries	NA		
9	Details of familiarization programmes imparted to independent directors	Yes		https://www.janabank.com/about-us/governance/familiarisation-programme/
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		https://www.janabank.com/about-us/investor-relations/investor-grievance/
11	email address for grievance redressal and other relevant details	Yes		https://www.janabank.com/about-us/investor-relations/investor-grievance/
12	Financial results	Yes		relations/financials/
13	Shareholding pattern	NA		
14	Details of agreements entered into with the media companies and/or their associates	NA		
15	Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	NA		
16	New name and the old name of the listed entity	NA		
17	Advertisements as per regulation 47 (1)	NA		
18	Credit rating or revision in credit rating obtained	Yes		https://www.janabank.com/about-us/new-jana-small-finance-bank-limited.pdf
19	Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	NA		
20	Whether company has provided information under separate section on its website as per Regulation 46(2)	NA		
21	Materiality Policy as per Regulation 30	NA		
22	Dividend Distribution policy as per Regulation 43A (as applicable)	NA		
23	It is certified that these contents on the website of the listed entity are correct	Yes		https://www.janabank.com/
24	Disclosure of notes on website in terms of Listing Regulations explanatory [Text Block]		Add Notes	

Annexure 1

VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Add Notes


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Sr	Subject	Compliance status
1	Name of signatory	Lakshmi R N
2	Designation	Company Secretary and Compliance Officer

Annexure II

II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes	
2	Board composition	17(1), 17(1A) & 17(1B)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Quorum of Board meeting	17(2A)	Yes	
5	Review of Compliance Reports	17(3)	Yes	
6	Plans for orderly succession for appointments	17(4)	Yes	
7	Code of Conduct	17(5)	Yes	
8	Fees/compensation	17(6)	Yes	
9	Minimum Information	17(7)	Yes	
10	Compliance Certificate	17(8)	Yes	
11	Risk Assessment & Management	17(9)	Yes	
12	Performance Evaluation of Independent Directors	17(10)	Yes	
13	Recommendation of Board	17(11)	Yes	
14	Maximum number of Directorships	17A	Yes	
15	Composition of Audit Committee	18(1)	Yes	
16	Meeting of Audit Committee	18(2)	Yes	
17	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
18	Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes	
19	Meeting of Nomination and Remuneration Committee	19(3A)	Yes	
20	Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(2A)	Yes	
21	Meeting of Stakeholders Relationship Committee	20(3A)	Yes	
22	Composition and role of risk management committee	21(1),(2),(3),(4)	Yes	
23	Meeting of Risk Management Committee	21(3A)	Yes	
24	Vigil Mechanism	22	Yes	
25	Policy for related party Transaction	23(1),(1A),(5),(6),(7) & (8)	Yes	
26	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	NA	
27	Approval for material related party transactions	23(4)	NA	
28	Disclosure of related party transactions on consolidated basis	23(9)	NA	
29	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA	
30	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	NA	
31	Annual Secretarial Compliance Report	24(A)	Yes	
32	Alternate Director to Independent Director	25(1)	Yes	
33	Maximum Tenure	25(2)	Yes	
34	Meeting of independent directors	25(3) & (4)	Yes	
35	Familiarization of independent directors	25(7)	Yes	
36	Declaration from Independent Director	25(8) & (9)	Yes	
37	D & O Insurance for Independent Directors	25(10)	Yes	
38	Memberships in Committees	26(1)	Yes	
39	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
40	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
41	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
Any other information to be provided				Add Notes

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Annexure II

1	Name of signatory	Lakshmi R N
2	Designation	Company Secretary and Compliance Officer

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Annexure II

III. Affirmations

Sr	Particulars	Compliance status (Yes/No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	NA
Any other information to be provided		Add Notes

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Annexure II

1	Name of signatory	Lakshmi R N
2	Designation	Company Secretary and Compliance Officer

Additional Half yearly Disclosure

Applicability of disclosure	Applicable		
Reason for Non Applicability	Add Notes		
I. Disclosure of Loans/ guarantees/comfort letters /securities etc.refer note below		The Figure should be mentioned in Actual INR only	
(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to			
Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months	
Promoter or any other entity controlled by them	0.00	0.00	
Promoter Group or any other entity controlled by them	0.00	0.00	
Directors (including relatives) or any other entity controlled by them	0.00	0.00	
KMPs or any other entity controlled by them	0.00	0.00	
(B) Any guarantee / comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed By			
Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months(taking into account any invocation)
Promoter or any other entity controlled by them	0	0.00	0.00
Promoter Group or any other entity controlled by them	0	0.00	0.00
Directors (including relatives) or any other entity controlled by them	0	0.00	0.00
KMPs or any other entity controlled by them	0	0.00	0.00
(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by			
Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	0	0.00	0.00
Promoter Group or any other entity controlled by them	0	0.00	0.00
Directors (including relatives) or any other entity controlled by them	0	0.00	0.00
KMPs or any other entity controlled by them	0	0.00	0.00
(D) Additional Information			Add Notes
II. Affirmations			
Affirmations		Compliance Status	Company Remarks
All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to		Yes	Add Notes
Name	Buvanesh Tharashankar		
Designation	CFO		
Place	Bengaluru		
Date	12-04-2023		

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Signatory Details

Name of signatory	Lakshmi R N
Designation of person	Company Secretary and Compliance Officer
Place	Bengaluru
Date	12-04-2023

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To Whomsoever It May Concern.

DATE: 12-04-2023

UNIT: JANA SMALL FINANCE BANK LIMITED

This is to certify that there are no pending Investor Grievance of the Debenture Holders for the quarter ended 31-03-2023, the details of which are as follows: -

No. of Complaints pending as on January 1, 2023	NIL
No. of Complaints received during the quarter (From January 1, 2023 to March 31, 2023)	NIL
No. of complaints resolved during the quarter (From January 1, 2023 to March 31, 2023)	NIL
No. of Complaints remaining unresolved as on March 31, 2023	NIL

Thanking You

Yours faithfully,
For KFIN TECHNOLOGIES LIMITED
(Formerly known as Kfin Technologies Private Limited)



Jagannadh Chakka
Deputy Manager